Database Query Set-up Tool and Method for Setting up a Query

The invention is related to a database query set-up unit for combining a set of search criteria in order to set up a database query, and to a method for setting up database queries by combining a set of search criteria.

In a dialogue system for database access, the user is mainly specifying the search criteria for a database request. This does not only include specification of the search criteria but it may also involve change or relaxation of said search criteria. The information of what has been achieved in the dialogue so far is called the information state, and in this particular setting, the information state comprises the search criteria. In order to obtain adequate recognition rates in speech recognition and language understanding and integrate newly added information into the system, the system needs to restrict and structure the information given by the user. For this purpose, a hierarchy of data is necessary, which reflects the dependencies which hold between the units of the given information.

Most state of the art dialogue systems retrieve such a hierarchy from the structure of the domain. Ill-structured tasks [Bernsen, N. O. and Dybkjaer, L.: From single word to natural dialogue. Invited book chapter in Zelkowitz, M. V. (Ed.): Advances in Computers, Vol. 52. London: Academic Press 2000, 267-327, section 3.9.2] explore a domain that does not provide a naturally given structure; in case dialogue systems have to cope with such an ill-structured task, current state of the art systems either impose an unnatural hierarchy onto the domain and assist the user finding his way through this hierarchy [TrindiKit: Staffan Larsson, Robin Cooper, Stina Ericsson (2001): menu2dialog. In Jokinen (Ed.): Knowledge and Reasoning in Practical Dialogue Systems, Workshop Program, IJCAI-2001] or they take an incremental approach [Embassi: Bernd Ludwig, Günther Görz, Heinrich Niemann, An Inference-based Approach to the Interpretation of Discourse, Special Issue of the Journal of Language and Computation, Volume 1, Number 2, 2000, pp. 241-258].

The incremental approach classifies any new information that is added to the current information state as dependent on the current (unaugmented) information state; it does not postulate an unnatural hierarchy. Thus, if

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1   only a part of the information state is overwritten in a further step, the latest information is deleted, since it depends on the entire information state and so it also depends on that part. This behaviour is implemented by keeping a history of information states; if a previous information state is restored, all information that has been added subsequently is lost.

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It is an object of the invention to combine a set of search criteria for performing a database query in a more flexible way, in order to allow for a relaxation of said search criteria.

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The object of the invention is solved by a database query set-up unit for combining a set of search criteria in order to set up a database query according to claim 1, and by a method for setting up database queries by combining a set of search criteria according to claim 15. Preferred embodiments thereof are respectively defined in the following dependent subclaims. A computer program product according to the present invention is defined in claim 28.

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In the database query set-up unit according to the invention, a set of search criteria is combined in order to set up a database query. The database query set-up unit comprises a contribution stack, in which search criteria provided by at least one user or by the system itself are stored in the order of occurrence. Each new search criterion provided by said at least one user is pushed onto said contribution stack. Furthermore, the database query set-up unit comprises means for deriving a current information state from said contribution stack, whereby said current information state is formed from a subset of the set of search criteria contained in said contribution stack. Said current information state is used for accessing a database.

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In prior art solutions, the various search criteria provided by a user are immediately combined to form an information state, and the database is accessed according to said information state. When said information state is formed, the order in which the user has input the search criteria is lost. The hierarchy defined by the sequence of user inputs is no longer available. In order to maintain the information about the order in which said search criteria have been provided, a contribution stack is used for storing said

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1   search criteria. Whenever an attribute, a keyword or another kind of search
       constraint is entered by the user, said search criterion is pushed onto the
       contribution stack. After a set of search criteria has been entered, the ol-
       dest search criterion can be found at the bottom of the contribution stack.
5   Recently entered search constraints, which have recently been pushed onto
       the contribution stack, can be found on top of the stack. Thus, the order of
       the entries within the stack corresponds to the order of occurrence of said
       search criteria. This holds true even in case said search criteria are not
       only provided by one single user, but by several users, or partly by said us-
10   ers and partly by the system itself. The invention allows the user to freely
       provide input without being restricted by preceding specifications.

       Starting from the entries within the contribution stack, a current informa-
       tion state is derived. Said current information state is then used for actual-
15   ly accessing the database. Of course, it is possible to utilize the whole set
       of entries within the contribution stack for forming the current information
       state. By combining all the search criteria that have been entered so far,
       the resulting query might be to narrow, though, and the number of hits
       within the database might be very small or even zero. In this case, the
20   search result is not very valuable for the user.

       In such a situation, it is desirable to relax the search criteria in order to in-
       crease the number of hits. For obtaining valuable search results, it is very
       important to use powerful relaxation strategies that relax said search crite-
25   ria in a way that the query still reflects what the user intends to search for.
       For relaxing the search constraints, it is necessary to leave out one or more
       of the entries in the contribution stack when forming the current informa-
       tion state. Broadening the scope of a query therefore implies that a subset
       of the set of search criteria contained in the contribution stack is chosen.
30   By using the contribution stack according to the invention, it is possible to
       define relaxation strategies that consider the order in which said search
       criteria have been provided. For example, the relaxation strategy could be
       to ignore the oldest search criterion at the very bottom of the contribution
       stack when deriving the current information state. In prior art solutions, it
35   has not been possible to keep track of the order of occurrence of the search
       criteria, and therefore, it has not been possible to relax the search in de-
       pendence of said order.

The query that has been set up by relaxing the initial set of search con-
straints might not be successful either. In case the initial entries in the
contribution stack are still available and have not been erased yet, it is
possible to form a second current information state from the initial set of
search criteria, whereby at this time, a different relaxation strategy is used.
When this second current information state is used for accessing the da-
tabase, this second query might yield an acceptable result.

Preferably, the order in which said search criteria are provided by said at
least one user or by the system determines a hierarchy of dependencies
between said search criteria. Predefined hierarchies of dependencies bet-
ween different search criteria and search attributes are not used in the so-
lution according to the invention. Instead, the hierarchy of dependencies is
dynamically defined by the user input. The order in which the user enters
the various search criteria defines the hierarchy of said search criteria. The
search criterion that is provided first has the highest level in the hierarchy.
All search criteria that are input afterwards depend on said search crite-
rion. Thus, the dependencies between said search criteria are defined by
the order of occurrence.

According to a preferred embodiment of the invention, each time a new
search criterion is provided, it is checked whether said new search criterion
refers to an attribute that has already been specified by an earlier search
criterion stored in said contribution stack. For a certain query, each search
attribute only has to be specified once. When the user provides two diffe-
rent specifications for a certain search attribute, then these two specifica-
tions contradict each other. The search criteria are not coherent in this
case. For example, when performing a query for German literature, it does
not make sense to specify the search attribute "author" more than once.
When a user specifies a certain search attribute for the second time, the
database query set-up unit's interpretation is that the user wants to cor-
rect an input that has been made earlier. For this reason it has to be
checked each time a new search criterion is provided whether said new
search criterion refers to an attribute that has already been specified ear-
lier.

Preferably, in case said new search criterion refers to an attribute that has

1   already been specified by an earlier search criterion stored in said contri-
    bution stack, said earlier search criterion is erased from said contribution
    stack, and said new search criterion is pushed onto said contribution
    stack. This is the first method for overwriting a search attribute that has
5   been specified earlier. In order to set up a coherent query, the earlier
    search criterion, which is stored at some location within the contribution
    stack, is erased. Said new search criterion is pushed onto the contribution
    stack. All the search criteria stored at intermediate positions between said
    earlier search criterion and said new search criterion are maintained.
10 Therefore, in the first method of overwriting, these intermediate entries are
    not lost. Another advantage is that the new search criterion is written to a
    location within the contribution stack that corresponds to the order of oc-
    currence of said new search criterion.

15 Alternatively, in case said new search criterion refers to an attribute that
    has already been specified by an earlier search criterion stored in said con-
    tribution stack, said earlier search criterion and all search criteria that
    have been pushed onto the contribution stack afterwards are popped from
    said contribution stack, and said new search criterion is pushed onto said
20 contribution stack. This is the second method for overwriting a search cri-
    terion that has been provided earlier. Again, the earlier search criterion is
    erased from the contribution stack, and the new search criterion is pushed
    onto the contribution stack. According to this second method, the interme-
    diate entries between the earlier search criterion and the new search crite-
25 rion are erased as well, because they depend on the earlier search criterion
    which is not valid anymore. The search criterion specified by the user is
    written exactly to the stack position where said earlier search criterion has
    been stored. Then, the following contributions given either by the user or
    the system fill up the consecutive stack positions. According to this ap-
30 proach, the intermediate stack entries are erased.

    Preferably, the database query set-up unit comprises means for relaxing
    the search constraints of a database query which suppress at least one of
    said search criteria contained in said contribution stack when said current
35 information state is derived. In case a query comprises too many search
    criteria, a very limited search result is obtained. In this case, it is advanta-
    geous to suppress one or more of said search criteria when forming said

1   current information state. This does not necessarily imply that the suppressed search criteria are also erased from the contribution stack. After the search constraints have been relaxed, the system presents a wider range of the respective database to the user.

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Preferably, said means for relaxing the search constraints of a database query select the search criteria to be suppressed according to the order of occurrence, and/or according to user profiles, and/or according to context information. By considering some or all of these aspects, it is possible to

10   relax the search constraints in a way that the thus obtained search result corresponds closely to the needs and expectations of the user. By considering the order of occurrence of said search criteria, a user's profile, and context information that has been obtained so far, it is possible to set up a relaxation strategy.

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Preferably, at least the most recent search criterion stored in said contribution stack is suppressed when said current information state is derived. Here, the search criterion to be suppressed is selected according to the order of occurrence: the search criterion that has most recently been pushed

20   onto the contribution stack is suppressed. The last input of the user is considered the least relevant input here.

Alternatively or additionally, at least the oldest search criterion stored in said contribution stack is suppressed when said current information state

25   is derived. Again, the relaxation is performed according to the order of occurrence of the search criteria. Though the oldest search criterion corresponds to the uppermost level of the dependency hierarchy, it is assumed that the user has made this input a long time ago and that he might not even remember said oldest search criterion anymore. Due to this fading

30   memory of the user, contributions that have been provided recently are considered more relevant.

According to a preferred embodiment of the invention, search criteria that are suppressed when said current information state is derived are erased

35   from said contribution stack. According to this embodiment, the query that has been performed based on the current information state corresponds exactly to the state of the contribution stack. Both in the current informa-

1   tion state and in the contribution stack selected search criteria have been
     taken away. The user can now specify new search criteria which are pushed
     onto the contribution stack.

5   According to an alternative preferred embodiment of the invention, search
     criteria that are suppressed when said current information state is derived
     are only erased from said contribution stack when it turns out that the
     query yields a valuable result. As long as it is not yet clear whether the re-
     laxation strategy leads to a sensible result, all the search criteria are main-
10   tained within said contribution stack. If the relaxation strategy that has
     been chosen is not successful, it is still possible to try another relaxation
     strategy. In this second relaxation strategy, the search criteria that have
     been suppressed during the first approach might become relevant again.
     Therefore, it is advantageous not to erase these contributions too early.

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     According to a third preferred embodiment of the invention, search criteria
     that are suppressed when said current information state is derived are
     maintained within said contribution stack. Here, all the input provided by
     the user is always available in chronological order, and no information is
20   lost. From said user input, any desired current information state can be
     derived at any time.

     Preferably, said search criteria are obtained by means of an interactive
     system based on an artificial language, preferably based on a database
25   query language. A simple example for such a database query language is
     the language which only consists of attribute value pairs corresponding to
     the database.

     Alternatively, said search criteria are obtained from said at least one user
30   by means of a natural language dialogue system. The user specifies the
     search criteria for the query as a natural language input. Here, the user
     has a lot of freedom how to specify his query. Especially for spoken lan-
     guage systems that employ some kind of speech recognition, natural lan-
     guage dialogue systems are the preferred method for obtaining user input.

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     The inventive method is a method for setting up database queries by combi-
     ning a set of search criteria. In a first step, search criteria provided by at

1 least one user or by the system itself are pushed onto a contribution stack in the order of occurrence. In the next step, a current information state is derived from said contribution stack, whereby said current information state is formed from a subset of the set of search criteria contained in said

5 contribution stack. Next, a database query corresponding to said current information state is set up.

Further features and advantages of preferred embodiments according to the present invention will be explained below in conjunction with the accom-

10 panying drawings, in which

Fig. 1 shows a contribution stack according to the invention;

Fig. 2 shows a contribution stack containing four search criteria;

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Fig. 3A shows the contribution stack after the entry 4 has been overwritten according to a first method for overwriting an entry;

20 Fig. 3B shows the contribution stack after the entry 4 has been overwritten according to a second method for overwriting an entry;

Fig. 4 shows how the entries contained in the contribution stack are

25 used for deriving a current information state;

Fig. 5 depicts how the search constraints can be relaxed by suppressing the most recent entry;

30 Fig. 6A shows how the search constraints can be relaxed by suppressing the oldest entry;

Fig. 6B shows the state of the contribution stack when the suppressed entry is maintained; and

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Fig. 6C shows the state of the contribution stack when the suppressed entry is erased from the contribution stack.

1   In the following, the invention will be explained by means of several
examples. Let us assume that a music title database is accessed via a spo-
ken dialogue system. For each item in said database, a set of attributes is
provided. In our example, let us assume that there are attributes indicating
5   the genre, the artist, the time period, the song type and the title name. For
each of the items within the music title database, each of said attributes
has been specified and is stored within the database.

In Fig. 1, a contribution stack 1 according to the invention is shown. Now,
10   the user starts setting up his query. There is no predefined hierarchy of the
different attributes (genre, artist, time period, song type, title name), and
therefore, the user can specify any attribute he wants. In our case, the user
first specifies that he or she is interested in music from Wynton Marsalis.
The search criterion "artist: Wynton Marsalis" is pushed onto the contribu-
15   tion stack, as it is shown in Fig. 1. The bottom entry 2 of the contribution
stack 1 therefore contains the search criterion "artist: Wynton Marsalis". In
order to continue setting up the query, the user now specifies that he is in-
terested in the genre Jazz. Therefore, the search criterion "genre: Jazz" is
pushed as a further entry 3 onto the contribution stack 1. The hierarchy
20   between the different search criteria is dynamically defined during the
course of user inputs. The hierarchy of dependencies between the various
search criteria depends on the order in which these search criteria are pro-
vided by the user. For this reason, the search criterion "genre: Jazz" de-
pends on the search criterion "artist: Wynton Marsalis".

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In our example, the next input provided by the user is "time period: 1960 –
69". The attribute "time period" has not been specified by any search crite-
rion provided earlier, and therefore, there are no conflicts. The new search
criterion "time period: 1960 – 69" is therefore pushed, as an entry 4, onto
30   the contribution stack 1. Next, the user specifies that he is interested in
the song type "ballads". The system checks whether the attribute "song
type" has already been provided. This is not the case, and therefore, the
search criterion "song type: ballads" is pushed, as an entry 5, onto the con-
tribution stack 1. The contribution stack 1, together with the entries 2, 3,
35   4, 5, is depicted in Fig. 2.

The user continues setting up the query by providing "time period: 1970 –

1   "79" as a next search criterion. The database query set-up unit checks whe-
ther the attribute "time period" has already been specified earlier, and de-
tects that in entry 4, "1960 – 69" has been specified as the relevant time
period. The two user inputs for the attribute "time period" contradict, and
5   the query is not coherent anymore. In order to resolve the contradiction,
the system assumes that the most recent input provided by the user repre-
sents what the user is interested in. Therefore, it is assumed that the user
is no longer interested in music from the time period 1960 – 69, and that
he is instead interested in music from the time period 1970 – 79. Therefore,
10   the entry 4 containing the search criterion "time period: 1960 – 69" has to
be overwritten.

In Fig. 3A, a first method for overwriting a former entry is indicated. Accor-
ding to this method, the entry 4, in which the attribute "time period" has
15   been set to "1960 – 69", is erased from the contribution stack 1. The new
search criterion "time period: 1970 – 79" is pushed, as an entry 6, onto the
contribution stack 1. Between the former entry 4 and the new entry 6,
there exists an intermediate entry 5 "song type: ballads". Though this entry
5 depends on the entry 4, which has been erased from the contribution
20   stack 1, the entry 5 is maintained in the contribution stack 1. This can be
seen in Fig. 3A. Maintaining said intermediate entry 5 has the advantage
that the user doesn't have to specify the attribute "song type" again. It can
be also seen from Fig. 3A that the order of the entries 2, 3, 5, 6 in the con-
tribution stack 1 still represents the chronological order in which the user
25   has specified the various search criteria.

According to a second method for overwriting a former entry, all the inter-
mediate entries between said former entry 4 and a new entry specifying the
same attribute "time period" are erased as well. The reason for doing this is
30   that said intermediate entries, for example the entry 5 "song type: ballads",
depend on the entry 4 "time period: 1960 – 69", which has to be erased. Ac-
cording to the second method of overwriting a former entry, all the interme-
diate entries are popped from the stack, and then, the entry that is to be
overwritten is popped from the contribution stack. This means that both
35   the entry 5 "song type: ballads" and the entry 4 "time period: 1960 – 69"
have to be popped from the contribution stack 1 before the new entry 6,
"time period: 1970 – 79" can be pushed onto the contribution stack 1. The

1   state of the contribution stack after said second method for overwriting a
      former entry has been performed is shown in Fig. 3B. Both with said first
      method and with said second method for overwriting a former entry it is
      possible to obtain a coherent contribution stack 1. As can be seen from Fig.
5   3B, the chronological order of the entries 2, 3, 6 is still preserved.

      In Fig. 4, it is shown how the entries 2, 3, 6 contained in the contribution
      stack 1 are used for deriving a current information state. The entries 2, 3,
      6 are forwarded to means 7 for providing a current information state. The
10   search criteria "artist: Wynton Marsalis", "genre: Jazz" and "time period:
      1970 – 79" are combined, by said means 7, into a current information state
      8. Said current information state 8 in then used for actually performing the
      query within the music title database.

15   Let us assume that the query with the search criteria "Wynton Marsalis,
      jazz, 1970-79" does not deliver a sufficient number of hits. In this case, the
      scope of the query has to be broadened by relaxing the search constraints
      that have been provided so far. In order to relax the search constraints, one
      or more of the search criteria "artist: Wynton Marsalis", "genre: jazz" and
20   "time period: 1970-79" have to be suppressed.

      An example of how the search constraints can be relaxed is shown in Fig.
      5. Initially, the entries 2, 3, 6 ("artist: Wynton Marsalis", "genre: jazz",
      "time period: 1970-79") are contained in the contribution stack 1. These
25   entries 2, 3, 6 are forwarded to means 9 for deriving a current information
      state, which comprise means for relaxing the search constraints in case a
      previous query has not yielded acceptable results. In the case shown in Fig.
      5, the entry that has been pushed onto the contribution stack most re-
      cently is suppressed. Thus, the entry 6 specifying the search criterion "time
30   period: 1970-79" is suppressed, and the current information state 10 gene-
      rated by said means 9 comprises the search criteria "Wynton Marsalis,
      jazz". With this relaxed subset of search criteria, a new query is carried
      out, which might yield a better result.

35   Suppressing an entry when generating a current information state does not
      necessarily imply that the respective entry, in the case of Fig. 5 the entry 6,
      has to be erased from the contribution stack. Erasing the entry 6, "time pe-

1 riod: 1970-79" can be postponed until it has turned out that the query has been successful, because in case the query has not been successful, the entry 6 might still be required for forming a different current information state. Of course, it is also possible to erase the entry 6 immediately, in or-

5 der to establish a correspondence between the query and the underlying contribution stack. A third method is to maintain the entry 6 within the contribution stack 1. In this case, the contribution stack 1 always contains all the user inputs in chronological order and can repeatedly be accessed for generating different current information states.

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In the example given in Fig. 6A, a different strategy for relaxing the search criteria is used. Again, the contribution stack 1 contains the three entries 2, 3, 6, which comprise the search criteria "artist: Wynton Marsalis", "genre: jazz", and "time period: 1970-79". Said entries 2, 3, 6 are forwarded

15 to means 11 for deriving a current information state, which comprise me- ans for relaxing the search constraints. In the example shown in Fig. 6A, a different relaxation strategy is used, because now, the oldest entry within the stack is ignored. The entry 2, "artist: Wynton Marsalis" is suppressed,

20 and the current information state 12 comprises the search criteria "jazz, 1970-79" contained in the entries 3 and 6.

In Fig. 6B, the state of the contribution stack 1 is shown for the case that the entry 2 that has been suppressed is maintained within the contribution stack 1. Fig. 6C shows the state of the contribution stack for the case that

25 the suppressed entry 2 is erased from the contribution stack 1.

Generally, any relaxation strategy can be used for broadening the scope of the query defined by the search criteria within the contribution stack. One could suppress any entry, as for instance the most recent entry (cf. Fig. 5)

30 or the oldest entry (cf. Fig. 6A). In both cases, the entry that is to be suppressed is selected according to the order in which said entries have been provided by the user. These strategies can only be applied when said order is known. When a contribution stack is employed, the order of occur- rence of the search criteria is known.

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When selecting the entries that are suppressed it is also possible to consi- der a user's profile and other kinds of context information. The aim of the

1   respective relaxation strategy is that though the query as initially specified
by the user has not been successful, the user is provided with the results
of a query that gets close to his or her intentions.

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